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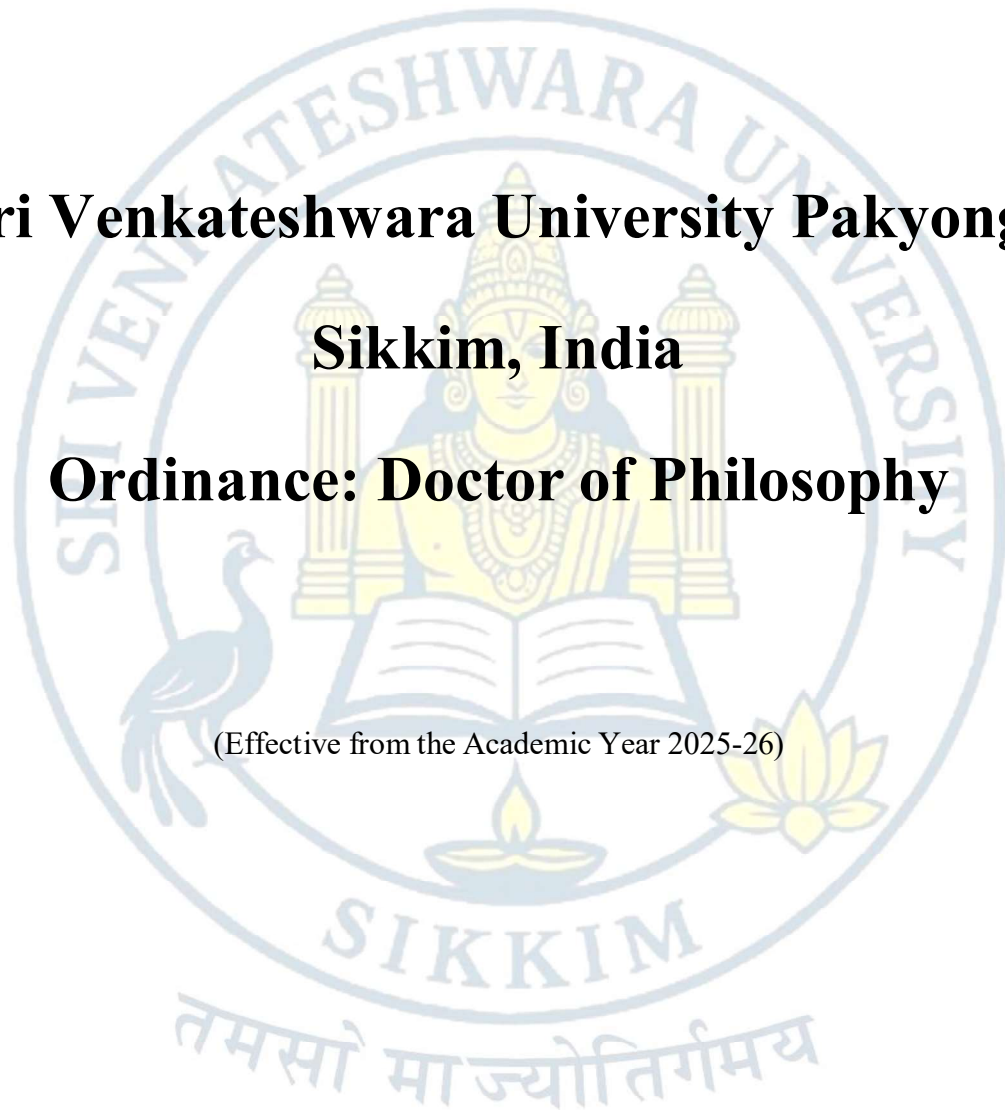
# **SRI VENKATESHWARA UNIVERSITY**

Established by Sikkim Act No. 04 of 2025 and Approved u/s 2(f) of UGC Act, 1956

**Sri Venkateshwara University Pakyong,  
Sikkim, India**

**Ordinance: Doctor of Philosophy**

(Effective from the Academic Year 2025-26)



# Preface

**Sri Venkateshwara University, Pakyong** offers research Programs leading to the award of the Ph.D. degree. The award of this degree is in recognition of high academic achievements, independent research and application of knowledge to the solution of technical and scientific problems in the various disciplines such as Science, Engineering & Technology, Computer Science & IT, Commerce & Management, Pharmacy, Physiotherapy, Yoga & Naturopathy, Education, Law, Arts, Humanities & Social Sciences, Agriculture, Hotel Management, Library & Information Science

All Research work shall basically aim at promoting creativity and productivity. The University shall endeavor to encourage excellent opportunity for research in interdisciplinary are as through a system of joint supervision and shall also undertake sponsored research and development projects from the industry, the public/privates etc. or organizations and various other funding agencies.

The research work shall be an original work characterized by the discovery of the facts or by a fresh approach towards the interpretation of the facts or development of any equipment making a marked advancement in technology. The research work shall distinctly evince the candidate's capacity for creative examination and sound judgment and shall make original contribution to the existing treasure of knowledge. The Ph.D. degree shall be conferred and awarded to a candidate who fulfill shall the requirements specified in these Ordinances and Regulations in the discipline of the department in which she/he is registered.

## 1. NOTIFICATION FOR Ph. D PROGRAM

The University shall lay-down and decide well in advance on biannual basis, a manage able number of doctoral students depending on the number of available eligible supervisors. The university shall release advertisement regarding admission to the Ph.D. Program and the same will display on the university website.

## 2. ELIGIBILITY CRITERIA FOR ADMISSION

The following persons are eligible to seek admission to the Ph.D. Program in university  
Candidates who have completed:

**2.1** A 1-year/2-semester master's degree Program after a 4-year/8-semester bachelor's degree Program or a 2-year/4-semester master's degree Program after a 3-year bachelor's degree Program or qualifications declared equivalent to the master's degree by the corresponding statutory regulatory body, with at least 55% marks in aggregate or its equivalent grade in a point scale wherever grading system is followed

**2.2** or equivalent qualification from a foreign educational institution accredited by an assessment and accreditation agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit or assure quality and standards of the educational institution.

**2.3** Provided that a candidate seeking admission after a 4-year/8-semester bachelor's degree Program should have a minimum of 75% marks in aggregate or its equivalent grade on a point scale wherever the grading system is followed.

**2.4** A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of the Commission from time to time.

**2.5** Candidates who have completed the M.Phil. Program with at least 55% marks in aggregate or its equivalent grade in a point scale wherever grading system is followed or equivalent qualification from a foreign educational institution shall be eligible for admission to the Ph.D. Program. A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of the Commission from time to time.

### **3. DURATION OF THE PROGRAM**

**3.1** Ph.D. Program shall be for a minimum duration of three (3) years for full time scholars and four (4) years for part time scholars, including course work, and a maximum duration of six (6) years from the date of admission to the Ph.D. Program.

**3.2** A maximum of an additional two (2) years each time with a six month duration on a recommendation of RAC by Vice-Chancellor can be given through a process of re-registration; however, that the total period for completion of a Ph.D. Program should not exceed eight (8) years from the date of admission in the Ph.D. Program.

**3.3** Provided further that, female Ph.D. scholars and Persons with Disabilities (having more than 40% disability) may be allowed an additional relaxation of two (2) years; however, the total period for completion of a Ph.D. Program in such cases should not exceed ten (10) years from the date of admission in the Ph.D. Program.

**3.4** Female Ph.D. Scholars may be provided Maternity Leave/Child Care Leave for up to 240 days in the entire duration of the Ph.D. Program.

#### **4. ADMISSION**

The procedure for selection of candidates for admission shall be based on both entrance test and personal interview after confirming from the research guide the number of Ph.D. seats available in each subjects.

**4.1 Entrance Examination:** The Entrance Test syllabus shall consist of 50% of research methodology and 50% shall be subject- specific.

The entrance examination will consist of 100 multiple choice questions of equal marks containing 50 questions each from research methodology and subject specialization.

There is No Negative marking for incorrect answers.

**4.2 Exemption from Entrance Test:** The following categories of candidates may be exempted from appearing in entrance test

- (i) Candidates with UGC-NET/UGC- CSIR NET/GATE/CEED and similar National level tests qualified are exempted from entrance examination as per No.F.4- 1(UGC-NET Review Committee/2024(NET)/140648 dated March 27, 2024

NET candidates will be declared eligible in three categories

The determination of the eligibility of NET for different categories is summarized below

| Qualified for                                                             | Eligible for |                     |                 | Weightage |                                  |
|---------------------------------------------------------------------------|--------------|---------------------|-----------------|-----------|----------------------------------|
|                                                                           | JRF          | Assistant Professor | Ph.D. Admission | Written   | Interview                        |
| <b>Category-I:</b><br>Award of JRF and appointment as Assistant Professor | Yes          | Yes                 | Yes             | Exempted  | Interview as per UGC Regulations |

|                                                                                             |    |     |     |                                     |                                     |
|---------------------------------------------------------------------------------------------|----|-----|-----|-------------------------------------|-------------------------------------|
| <b>Category-2:</b><br>appointment as<br>Assistant<br>Professor and<br>admission to<br>Ph.D. | No | Yes | Yes | 70%<br>weightage<br>of NET<br>Score | 30%<br>weightage<br>of<br>interview |
| <b>Category-3:</b><br>Admission to<br>Ph.D. only                                            | No | No  | Yes | 70%<br>weightage<br>of NET<br>Score | 30%<br>weightage<br>of<br>interview |

The marks obtained in the NET by the candidates in Categories 2 and 3 will be valid for a period of one year for admission to Ph.D.

- (ii) Candidates with M.Phil. Degree as per Ph.D. Regulation 2009/2016 or equivalent as recognized by the University. However, candidates should attend an interview for final selection for admission to Ph.D.

**4.3 Personal interview:** Students who have secured 50% marks in the entrance test or those who are exempted from entrance test are eligible to be called for the interview.

The interview shall consider the competence, suitability, research interest and contribution to knowledge, by the candidate undertaking the research program. DRC will take the personal interview of all eligible candidates.

**4.4** A relaxation of 5% marks will be allowed in the entrance examination for the candidates belonging to SC/ST/OBC/differently-abled category, Economically Weaker Section (EWS), and other categories of candidates as per the decision of the Commission from time to time.

**4.5** A weightage of 70% for the entrance test and 30% for the performance in the interview/viva-voce shall be given in making final merit list. Minimum 50% marks required in interview to eligible for final merit list. Candidates will be selected as per the number of vacancies in the department.

University reserve the right not to fill up the vacant seats of Ph.D. programme in case of the non availability of suitable candidate

**4.6** DRC should allot guide in consultation with student at the time of interview

## **5. ALLOCATION OF RESEARCH SUPERVISOR**

Eligibility criteria to be a Research Supervisor, Co-Supervisor, Number of Ph.D. scholars permissible per supervisor, etc.

**5.1** Permanent faculty members working as Professor/Associate Professor of the university with a Ph.D., and at least five research publications in peer-reviewed or refereed journals and permanent faculty members working as Assistant Professors in university with a Ph.D., and at least three research publications in peer-reviewed or refereed journals may be recognized as a Research

Supervisor in the university. Such recognized research supervisors cannot supervise research scholars in other institutions, where they can only act as co-supervisors.

**5.2** Co-Supervisors from within the same department or other departments of the same institution or other institutions may be permitted on recommendation of convener of concerned student's RAC with the approval of the Dean (Research).

**5.3** Adjunct Faculty members shall not act as Research Supervisors and can only act as co-supervisors.

**5.4** In case of interdisciplinary/multidisciplinary research work, if required, a Co-Supervisor from outside the Department/School/Centre/College/University may be appointed.

**5.5** A Research Supervisor/Co-supervisor who is a Professor, at any given point of time, cannot guide more than Eight (8) Ph.D. scholars. An Associate Professor as Research Supervisor can guide up to a maximum of six (6) Ph.D. scholars and an Assistant Professor as Research Supervisor can guide up to a maximum of four (4) Ph.D. scholars.

**5.6** In case of relocation of a female Ph.D. scholar due to marriage or otherwise, the research data shall be allowed to be transferred to the Higher Educational Institution to which the scholar intends to relocate, provided all the other conditions in these Regulations are followed, and the research work does not pertain to a project sanctioned to the parent Institution/Supervisor by any funding agency. Such scholar shall, however, give due credit to the parent institution and the supervisor for the part of research already undertaken.

**5.7** Faculty members with less than three years of service before superannuation shall not be allowed to take new research scholars under their supervision. However, such faculty members can continue to supervise Ph.D. scholars who are already registered until superannuation and as a co-supervisor after superannuation, but not after attaining the age of 70 years.

**5.8** No Supervisor shall guide any of his close relatives as specified by the university.

**5.9** The University may permit, on the recommendation of DRC approved by the Dean (Research), appointment of a caretaker supervisor in special circumstances such as a supervisor proceeding on long leave of six months or more and the like.

**5.10** Each supervisor can guide up to two international research scholars on a supernumerary basis over and above the permitted number of Ph.D. scholars as specified above.

**5.11** At any point, the total number of Ph.D. scholars under a faculty member, either as a supervisor or a co-supervisor, shall not exceed the number above

**5.12** A new supervisor may be appointed on the recommendation of DRC by the Vice-Chancellor in the event of death, or prolonged illness of the supervisor or if inability to guide is expressed by the supervisor on or after his superannuation or resignation from the services of the University.

**5.13** Any request for change of Supervisor(s) is highly discouraged and may only be considered

under exceptional circumstances. The request for change of Supervisor may be submitted to Dean (Research) through concern RAC Convener with his/her recommendations on the basis of statements of concerned Supervisor as well as Research Scholar.

## 6. COURSE WORK

Each candidate including a part-time candidate after having being admitted, shall be required to undertake course work for a minimum period of one/two semester. In exceptional case RAC can extend the completion of course work for another semester.

**6.1 Credit requirements:** As per the provisions of the UGC (Minimum Standards and Procedure for Award of Ph.D. Degree) Regulations, the Ph.D. candidate shall be required to undergo one semester of coursework, comprising a minimum of 12 credits and extendable up to a maximum of 16 credits. The coursework shall consist of 240 hours of instruction, covering four subjects, and shall be compulsory for all candidates admitted to the Ph.D. programme. The evaluation of the coursework shall be conducted at the end of the semester through an external examination, in accordance with the examination scheme prescribed by the University. The minimum credit requirement of 12 credits shall mandatorily including, a “Research and Publication Ethics” course and a research methodology course. The Research Advisory Committee may also recommend UGC recognized online courses as part of the credit requirements for the Ph.D. Program.

**6.2** All Ph.D. scholars, irrespective of discipline, shall be required to train in teaching/education/pedagogy/writing related to their chosen Ph.D. subject during their doctoral period. Ph.D. scholars may also be assigned 4-6 hours per week of teaching/research assistantship for conducting tutorial or laboratory work and evaluations.

**6.3** A Ph.D. scholar must obtain a minimum of 55% marks or its equivalent grade in the UGC 10-point scale in the course work to be eligible to continue in the Program and submit his or her thesis.

**6.4 The following shall be the examination scheme.**

| Paper & Paper code | Subject                         | Credits | Marks | Duration of Examination | Passing (%) |
|--------------------|---------------------------------|---------|-------|-------------------------|-------------|
| Paper-I<br>CW101   | Research Methodology            | 04      | 100   | 3 hrs                   | 55          |
| Paper-II<br>CW102  | Computer Applications           | 02      | 100   | 3 hrs                   | 55          |
| Paper-III<br>CW103 | Domain Specific <subject name>  | 04      | 100   | 3 hrs                   | 55          |
| Paper-IV<br>CW104  | Research and Publication Ethics | 02      | 100   | 3 hrs                   | 55          |

- One Credit will be equivalent to 15 hours of study.

**6.5** A candidate who fails in the written examination may be permitted to reappear for the

examination but not for more than two additional occasions. If the candidate failed for the third time then he has to reappear in course work classes. All the candidates shall have to clear the examination within two years from the date of registration. During the reappearance the candidate shall be exempted from appearing for the papers in which he / she secured 55 % or more.

6.6 Candidates already holding M. Phil. degree and admitted to the Ph.D. programme, or those who have already completed the course work in M.Phil. and have been permitted to proceed to the Ph.D. in integrated course, shall be exempted by the Department from the Ph.D. course work. All other candidates admitted to the Ph.D. programme shall be required to complete the Ph.D. course work of one semester/240 hours prescribed by the Department.

6.7 She/he has to deposit 1<sup>st</sup> year fees online in the university account before appearing in the Course work examination.

## **7. DEPARTMENTAL RESEARCH COMMITTEE**

7.1 There shall be a departmental research committee (DRC) for each department of the University consisting of the following:-

- a. Vice-Chancellor
- b. The Dean of School/the Dean of Research
- c. Dean/HOD of the Concerned Research Department
- d. Two Professor/Associate Professor/Assistant Professor from the Respective School
- e. In case the total number of members as per clause (a), (b), (c) and (d) above remains less than three then the Dean of the School will be authorized to take necessary steps to raise the total strength of Research Committee to three with prior approval of the Vice-Chancellor.

In case, the strength of the DRC remains less than three, the Dean of School concerned will be authorized to include outside experts out of a panel of Subject experts approved by the Vice Chancellor, who will have to be of the Professor rank. The Dean of School will be authorized to sanction TA/DA and honorarium for outside experts as per University rules.

7.2 The DRC shall perform the following functions, namely:-

1. To conduct the interview of candidates for admission to Ph.D. program
2. To prepare the syllabus for paper of the Entrance Test for Ph.D. Program
3. To monitor and evaluate the quality of research in the department.
4. To cooperate with Dean (Research) in the determination of the course content for coursework semester of Ph.D. Program.
5. To finalize the subject and specialization for research.
6. To create a conducive environment for promotion of research and innovation activities in the university.

7. To promote collaborative research
8. To promote funding, patent, publication, seminar & conferences, workshops etc.
9. To forward recognition for Research Supervisor to Dean, Research.

## **8. RESEARCH ADVISORY COMMITTEE**

**8.1** There shall be a Research Advisory Committee for each Ph.D. scholar. The

Research Advisory Committee shall consist of the following:-

- a. Research Supervisor : Convener
- b. Co-supervisor (if any) : Member
- c. One subject experts from the Department : Member
- d. One external experts\* : Member

\*nominated by the Vice-Chancellor from the panel proposed by Convener

The quorum of the RAC shall be not less than one half of its members.

**8.2** RAC shall have the following responsibilities:

1. To review the research proposal and finalize the topic of research
2. To guide the Ph.D. scholar in developing the study design and methodology of research and identify the course(s) that he/she may have to do.
3. To periodically review and assist in the progress of the research work of the Ph.D. scholar.
4. To assess and approve the pre-submission presentation report.
5. To meet as and when required.
6. To monitor the compliance of Progress Reports.

**8.3** Each semester, a Ph.D. scholar shall submit a brief report on the progress of his/her work for evaluation and appear before the Research Advisory Committee to make a presentation.

**8.4** In case the progress of the Ph.D. scholar is unsatisfactory; the Research Advisory Committee shall record the reasons for the same and suggest corrective measures. If the Ph.D. scholar fails to implement these corrective measures, the Research Advisory Committee may recommend, with specific reasons, the cancellation of the registration of the Ph.D. scholar from the Ph.D. Program.

## **9. RESEARCH SCHOLAR CATEGORY**

### **9.1 Full Time Research Scholar:**

Full-time research scholars are those who register for PhD at Sri Venkateshwara University, Pakyong (SVU) on full-time basis.

The following category of candidates shall be considered as Full Time Research Scholars:

- a. **Self-Financed:** This category of candidates will not get any financial support from the university.
- b. **Study Leave:** This category refers to candidates employed in any organization/ institute and granted Study leave for a minimum period of three years for doing research work at the university.
- c. **Sponsored Candidates:** These candidates are sponsored by any organization for doing research work in the university. Candidates are expected to be released for full time research work at the university for a minimum period of two and half years.
- d. **Fellowship Award:** These candidates are financially supported under various government schemes (CSIR, UGC, DAE, DST, DBT, NBHM etc.) or by any other organization.
- e. **Foreign Nationals:** These students are admitted through Embassy of the respective government after getting approval from the Ministry of Human Resources Development, Department of Education, and Govt. of India.

## 9.2 Part-Time Research Scholars:

Part-time research scholars are those who are presently employed in any college/school/ institute/industry and are registered for PhD at SVU.

### a. Part-Time -Internal:

This category is only for the persons working in the Sri Venkateshwara University, Pakyong

### b. Part-Time - External:

This category includes the candidates employed in any organization /university/institute and desirous of pursuing Ph.D. program while in employment as external candidates. This category also includes College Teachers. These candidates shall be available to the Supervisor (internal) for interaction during weekends, holiday sand vacations.

## 9.3 Ph. D through Part-time Mode

Ph.D. Programs through part-time mode will be permitted, provided all the conditions

Stipulated in these Regulations are fulfilled. The Sri Venkateshwara University will obtain a

**“No Objection Certificate”** from the candidate before the commencement of course work

classes for a part-time Ph.D. Program from the appropriate authority in the organization

where the candidate is employed, clearly stating that:

- i. The candidate is permitted to pursue studies on a part-time basis.
- ii. His/her official duties permit him/her to devote sufficient time for research.
- iii. If required, he/she will be relieved from the duty to complete the course work.

## 10. REGISTRATION

Following are the guidelines for registration in Ph. D Program:

- 10.1** The Research Advisory committee (RAC) after finalization of the topic will ask to the student for presentation and approval of Synopsis within 6 months of declaration of course work examination.
- 10.2** For the confirmation of registration, the candidate shall be required to present and defend a synopsis of his/her proposed research work before the RAC who may either approve or may ask the candidate to resubmit the synopsis based on the suggestions/ modifications made by it. The RAC may allow not more than three attempts ( within 6 months duration) to a candidate for defending the synopsis of the proposed research work after that it may recommend for cancellation of admission of the candidate for Ph.D. program.
- 10.3** Upon approval of the synopsis by the RAC, the application for confirmation of registration shall be placed before the Vice-Chancellor who, after being satisfied with the candidate's requisite qualification and the subject offered can be well pursued for the Ph.D. Program.
- 10.4** The act of non-depositing of fees and/or not-completing the registration process as mentioned above on the scheduled dates shall be treated as the 'Voluntary Discontinuation' of studies by the Research Scholar. In such case, she/he will cease to be a bona fide student with immediate effect. The above instructions for registration shall be applicable to all Research Scholars admitted in the university.
- 10.5 Cancellation of Registration:** The Vice-Chancellor may, on the recommendation of the Concern RAC, cancel the registration of a Ph.D. candidate on any one or more grounds of the following; namely, if-(Dean-Research may forward the file to Vice-Chancellor for admission cancellation)
- Two consecutive progress reports of the candidate are not satisfactory.
  - A student abstains from the Program for a continuous period off our weeks without prior information in writing to his supervisor.
  - The candidate fails to submit the thesis within the maximum period permitted.
  - The candidate resigns from the Ph. D Program and his supervisor recommends cancellation of registration.
  - The candidate is found involved in an act of misconduct and/ or indiscipline and the competent authority recommends his termination from the Program.
  - The candidate fails to deposit fee for two semesters consecutively.

## 11. PROGRESS REPORT

Till the submission of the thesis each candidate registered for the Ph. D program shall submit and present periodically six monthly progress report in the prescribed format to the RAC. The candidate

shall be registered in the next semester only if the progress report for the previous semester has been received and evaluation forwarded by RAC

The evaluated progress report of the Ph. D. candidate should be submitted to Vice-Chancellor through dean research.

If the candidate does not submit and present before RAC this/her progress report in particular semester then his/her minimum period for the award of degree shall be extended by six month.

### **11.1 Change of Research Topic**

A candidate ordinarily shall not be permitted to change his research topic during the course of the research work once it has been approved by the RAC. However, if an occasion arises due to technical difficulties, the Vice-Chancellor may, on the recommendation of the RAC and the Dean (Research), permit any modification of the topic within a period of one year (and not thereafter) from the date of registration. The University may permit the candidate to submit his/her thesis after two years of such modification or change of topic.

## **12. SUBMISSION OF THESIS**

Full time candidate for the Ph.D. Degree shall be normally eligible for submission of the thesis after a minimum period of three years from the date of provisional registration, while for the part time student it shall be a minimum of three & half years, subject to fulfillment of all the prescribed norms and requirements.

Candidates shall not be allowed to submit to the University the thesis produced by him for its evaluation unless he has:

**12.1** Published at least two research papers in refereed journals mentioning the name of Sri Venkateshwara University, Pakyong before the submission of the thesis and produces evidence for the same in the form of acceptance letter or the reprint and also make two presentation in reputed conferences. At least one paper should be published jointly with the name of candidate and the research supervisor. First author must be the Candidate.

**12.2** A Pre- Ph. D presentation will be made before the RAC of his/her research work in respective department which would be attended by the teachers and research candidates of the concerned department.

**12.3** A certificate duly signed by the supervisor stating the suggestions (if any) given by the RAC have been incorporated in the draft thesis.

**12.4** Submitted one soft copy of the thesis to the Office of Dean Research/Library for plagiarism

check. The acceptable limit of plagiarism is 10%.

12.5 The plagiarism due to self (scholar's own published work out of the dissertation) publications could be deducted from total plagiarism%.

12.6 The thesis shall have an undertaking from the research scholar and a certificate from the Research Supervisor attesting the originality of the work, vouching that there is no plagiarism within the prescribed limit and that the work has not been submitted for the award of any other degree of the same institute where work was carried out, or to any other institution.

12.7. The candidate shall be required to submit to the Dean (Research) six printed copies of his/her thesis along with softcopy of the same.

12.8. The color of the thesis cover in various disciplines shall be as follows:

| S. No. | Stream/ Specializations                   | Color       |
|--------|-------------------------------------------|-------------|
| 1.     | Engineering & Technology                  | Orange      |
| 2.     | Commerce and Management, Hotel Management | Dark Green  |
| 3.     | Agriculture                               | Grey        |
| 4.     | Arts, Humanities and Social Sciences      | Brown       |
| 5.     | Science                                   | Blue        |
| 6.     | Computer Science & IT                     | Cherry Red  |
| 7.     | Law                                       | Black       |
| 8.     | Pharmacy                                  | Light Green |
| 9.     | Education & Physical Education            | Pink        |
| 10.    | Yoga and Naturopathy                      | Light Blue  |
| 11.    | Journalism and mass communication         | Purple      |

The candidate shall follow the color code strictly.

12.9. The thesis shall be in English/Hindi/Concerned language (in case of language subject) or as per the recommendation by the Research Advisory Committee (RAC).

12.10. The candidates shall also submit the following documents together with thesis:

- A 'no-dues' certificate duly signed by the Head of the Department, Library, Finance Department, Hostel Warden, and Sports Officer,
- The thesis submission fees receipt.

### **13. EVALUATION OF THESIS**

The Ph.D. thesis submitted by a research scholar shall be evaluated by his/her Research Supervisor and two external examiners.

#### **13.1 Appointment of Examiners**

The supervisor shall prepare and suggest to the Vice-Chancellor a panel of six evaluators from outside the University from State/Central/Private University/Govt. or Private Colleges/ National Laboratories /Research Centers established by ICAR/ICMR/CSIR etc. The panel shall also include not less than two names of experts from outside the state. If necessary, the Vice-Chancellor may call for additional name(s) for the panel from the supervisor. The panel submitted should include relevant information of the evaluator like designation, field of expertise, full postal and e-mail addresses, basic and cell phone number etc. The supervisor shall give a certificate to the effect that the names suggested in the panel are not close relatives either of the supervisor himself or of the candidate and they are experts in the area of thesis.

Pre-PhD synopsis of 15-20 pages summarizing the work in introduction, material & methods, result & Discussion including references should be submitted along with examiner panel.

**13.2** The Vice-Chancellor shall appoint two external examiners, from the panel of six examiners submitted by the supervisor, out of which one may be from outside the state or even the country from out of the panel submitted as 13.1 above.

**13.3** The university shall obtain the consent of the examiners so appointed by the Vice-Chancellor/Pro-Vice-Chancellor.

If no consent is received from the examiner within a month, a new examiner shall be appointed by the Vice-Chancellor out of the panel.

**13.4** The University shall, after obtaining the consent of the examiners appointed by the Vice-Chancellor out of the panel submitted by the supervisor, dispatch a hard/soft copy of the thesis produced and submitted by the candidate to each one of them to send their evaluation report to the Dean (Research) within a period of two months of the receipt of the thesis.

**13.5** The examiner shall be required to send his/her report on the prescribed form clearly stating that the:

**13.5.1** Thesis is recommended for the awarded for the degree of the Ph. D or

**13.5.2** Thesis is recommended for the awarded for the degree of the Ph. D subjected to the

candidate giving satisfactory answers to queries or

**13.5.3** Candidate be required to submit his thesis in the revised form or

**13.5.4** Thesis is rejected.

**13.6** The RAC shall; (Dean Research shall place the recommendation before RAC)

- a. Accept the recommendation of the examiners if all the two examiners recommend the acceptance of the thesis
- b. Reject the thesis if both examiners recommends rejection of the thesis
- c. Appoint a new examiner for evaluation if one of the two examiners recommends rejection of the thesis

## **14 VIVA-VOCE EXAMINATION**

On receiving favorable recommendations from both the examiners and the Research Supervisor, the final *Viva-Voce* of the candidate for the award of Ph.D. Degree can be arranged by board of examination for viva voce approved by Vice-Chancellor

The Vice-Chancellor/Pro-Vice-Chancellor shall appoint:

- a. An external examiner from out of the two external examiners who evaluated the thesis for conducting the viva-voce examination. However, if both the examiners are not available, the Vice-Chancellor may, for reasons to be recorded in writing, appoint a new examiner from out of the existing panel; and
- b. The supervisor shall fix a suitable date for the viva-voce examination with the external examiner. The Dean Research shall, on the request of the Supervisor, notify the day, time, and place at least Fifteen days in advance to all concerned.
- c. The viva-voce may be conducted online if any one out of (candidate, supervisor, and examiner) is not present physically due to convincing reason with approval of Vice-Chancellor/Pro-Vice-Chancellor.
- d. At such viva-voce examination the candidate shall be required to openly defend his/her research work in presence of the examiner, Supervisor, teachers, research candidates of the University who may be invited to witness and make queries, if any, strictly relating and relevant to the topic of research. The Research department shall obtain signature of all the officers present at the time of viva-voce examination.
- e. The viva-voce examiner shall, at the very outset of the examination, will be informed about the comments and recommendations made by the examiner.
- f. The Vice-Chancellor has to recommend a Viva voce board to oversee the Viva voce examination. The board shall include senior Professor from the department along with Supervisor and external examiner. The Viva-Voce board shall submit a comprehensive report on the performance of the candidate on the viva-voce

examination to the Vice-Chancellor for final approval with following recommendation:

- a. The degree of Ph. D be awarded; or
- b. The candidate be re-examined at a second viva-voce to be conducted not before three months but not later than six months; or
- c. The degree is not awarded and the thesis be rejected.

## **15. AWARD OF Ph.D. DEGREE**

### **15.1 Provisional certificate**

Prior to the actual award of the Ph.D. degree, the university issues a provisional certificate to the effect that the Ph.D. is being awarded in accordance with the provisions of these Regulations. The scholar is required to apply in the prescribed format after successful defense of the Viva-Voce.

### **15.2 Depository with UGC and the University**

- a) Following the successful completion of the evaluation process and before the announcement of the award of the Ph.D. degree (s), the university may submit an electronic copy of the Ph.D. thesis to INFLIBNET, for hosting the same so as to make it accessible to all the Higher Educational Institutions and research institutions.
- b) One copy of the thesis (both soft and hard copy) shall be placed in the University Library reference section and one copy in the concerned Department office/Library.

### **15.3 Award of Ph.D. Degree**

The reports of the examiners (including those of the Viva- Voce board) shall be placed before the Vice-Chancellor/Pro-Vice-Chancellor for acceptance or rejection, as the case may be, of the thesis on behalf of the Board. The Vice-Chancellor/Pro-Vice-Chancellor shall report the same to the Board of Management through Academic Council for confirmation.

## **16. PUBLICATION OF THE THESIS**

No thesis shall be published without prior permission of the university. The research scholar may apply in the prescribed format to the University for permission to publish the thesis.

## **17. DOCUMENTS AND FEE STRUCTURE**

### **17.1 Documents:**

- 2 Passport Size Photographs.
- Filled Application Form.
- Copy of Aadhar card
- Attested copy of Matriculation Mark sheet (10<sup>th</sup>).
- Attested copy of Senior Secondary Mark sheet(12<sup>th</sup>)
- Attested copies of all Mark sheets of Graduation
- Attested copies of all Mark sheets of Post Graduation
- Migration Certificate (Original)
- Caste Certificate (For Relaxation in reserved category)
- No Objection Certificate (In the case of Candidate working in any organization)

### **17.2 Course Fee Structure:**

Application Form Fee:Rs. 1000/

Enrollment Fee : Rs. 1200/

Entrance Exam Fee : Rs. 5000/

Tuition Fee: Rs.1, 20,000/- per year for a minimum period of three years.

### **17.3 Payment Options:**

As per UGC and National Digital Payment Mission (NDPM) of MHRD Guidelines, Sri Venkateshwara University right from its inception has implemented Online System for Acceptance of Digital Mode of Payments (VIA Online IMPS/BHIM/Debit Cards/Wallets) This is for information to all students that the University has not authorized any person to collect fees on its behalf. Sri Venkateshwara University does not Collect Cash for any type of fee payment.

### **Fees May be deposited in following ways only in Sri Venkateshwara University**

- 1)Through Online Payment Gateway (Most Preferred Method)
- 2)Through Bank Challan –For Cash/Demand Draft/Cheque Deposit in Bank.

### **Contact for Admission**

Contact for Admission

Dean (Research) and Admission Coordinator

E-mail ID: research@svusedu.in